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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
10/577,587	04/27/2006	Dongwei Cai	21499P	2264
P O BOX 2000		EXAMINER		
			RAHMANI, NILOOFAR	
RAHWAY, N.	J 07065-0907		ART UNIT	PAPER NUMBER
			1625	
	,		•	
	•		MAIL DATE	DELIVERY MODE
			08/13/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)				
	10/577,587	CAI ET AL.				
Office Action Summary	Examiner	Art Unit				
	Niloofar Rahmani	1625				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address						
Period for Reply		·				
A SHORTENED STATUTORY PERIOD FOR REPL WHICHEVER IS LONGER, FROM THE MAILING DESTRUCTION OF THE MAILING	DATE OF THIS COMMUNION 136(a). In no event, however, may a light will apply and will expire SIX (6) MON te, cause the application to become Al	CATION. reply be timely filed NTHS from the mailing date of this communication. BANDONED (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on 27 A	April 2006.	•				
3) Since this application is in condition for allowa	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
4)⊠ Claim(s) <u>1-10</u> is/are pending in the application.						
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6) Claim(s) is/are rejected.						
7) Claim(s) is/are objected to.						
8) Claim(s) <u>1-10</u> are subject to restriction and/or	election requirement.	·				
Application Papers	·.					
9) The specification is objected to by the Examin	er.					
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.						
Applicant may not request that any objection to the	•	•				
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by the E	examiner. Note the attache	d Office Action or form PTO-152.				
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).						
a) All b) Some * c) None of:						
1. Certified copies of the priority documents have been received.						
2. Certified copies of the priority documents have been received in Application No						
3. Copies of the certified copies of the priority documents have been received in this National Stage						
application from the International Bureau (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a list of the certified copies not received.						
•		•				
Attachment(s)	. 🗖					
Notice of References Cited (PTO-892)     Notice of Draftsperson's Patent Drawing Review (PTO-948)		Summary (PTO-413) (s)/Mail Date				
3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date		Informal Patent Application				

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## **DETAILED ACTION**

1. Claims 1-10 are currently pending in the instant application.

## **Priority**

2. This application is a 371 of PCT/US04/35294, filed on 10/25/2004, which claims benefit of 60/514,754, filed on 10/27/2003.

## 3. Election/Restrictions

Restriction is required under 35 U.S.C. 121 and 372.

This application contains the following inventions or groups of inventions, which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a single invention to which the claims must be restricted.

- I. Claim 1, drawn to a process for preparing (3S,4S)-N-((1S,4S)-4-isopropyl-4-{[3-(trifluoromethyl)-7,8-dihydro-1,6-naphthyridin-6(5H)-yl]carbonyl}cyclopent-2-en-1-yl)-3-methoxytetrahydro-2H-pyran-4-amine, classified in class 546, subclass various. If this group is elected, a further election of a single disclosed species of compound is also required. Further restriction based on the species election may be required.
- II. Claims 2-3, drawn to a process for preparing ((1R,3S)-isopropyl-3-{[3-(trifluoromethyl)-7,8-dihydro-1,6-naphthyridin-6(5H)-yl]carbonyl}cyclopentyl)[(3S,4S)-3-methoxytetrahydro-2H-pyran-4-yl]amine, classified in class 546, subclass various. If this group is elected, a further election of

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a single disclosed species of compound is also required. Further restriction based on the species election may be required.

III. Claim 4, drawn to a process for preparing (1S,4S)-4-(2,5-dimethyl-1H-pyrrol-1-yl)-1-isopropylcyclopent-2-ene-1-carboxylic acid, classified in class 548, subclass various. If this group is elected, a further election of a single disclosed species of compound is also required. Further restriction based on the species election may be required.

IV. Claims 5-6, drawn to a process for preparing

. classified

in class 560, subclass various. If this group is elected, a further election of a single disclosed species of compound is also required. Further restriction based on the species election may be required.

V. Claims 7 -9, drawn to a process for preparing 3-(trifluoromethyl)-5,6,7,8-tetrahydro-1,6-naphthyridine, classified in class 546, subclass various. If this group is elected, a further election of a single disclosed species of compound is also required. Further restriction based on the species election may be required.

VI. Claim 10, drawn to a process for preparing (3R)-3-methoxytetrahydro-4H-pyran-4-one, classified in class 549, subclass various. If this group is elected, a further election of a single disclosed species of compound is also required. Further restriction based on the species election may be required.

The inventions listed as Groups I and VIII do not relate to a single general inventive concept under 35 USC 121 or PCT Rule 13.1 because:

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**PCT Rule 13.1** states that the international application shall relate to one invention only or to a group of inventions so linked as to form a single general inventive concept ("requirement of unity of invention").

**PCT Rule 13.2** states that the unity of invention referred to in Rule 13.1 shall be fulfilled only when there is a technical relationship among those inventions involving one or more of the same or corresponding special technical features.

Annex B, Part 1(a), indicates that the application should relate to only one invention, of if there is more than one invention, inclusion is permitted if they are so slinked to form a single general inventive concept.

Annex B **Part 1(b)**, indicates that "special technical features" means those features that as a whole define a contribution over the prior art.

Annex B **Part 1(c)**, further defines independent and dependent claims. Unity of invention only is concerned in relation to independent claims. Dependent claims are defined as a claim that contains all the features of another claim and is in the same category as the other claim. The category of a claim refers to the classification of claims according to subject matter e.g. product, process, use, apparatus, means, etc.

Annex B Part 1(e), indicates that the permissible combinations of different categories of claims. Part 1(e)I, states that inclusion of an independent claim for a given product, an independent claim for a process specially adapted for the manufacture of the said product, and an independent claim for a use of the said product is permissible.

Annex B, Part 1(f), indicates the "Markush practice" of alternatives in a single claim. Part 1(f)I, indicates the technical relationship and the same or corresponding special technical feature is considered to be met when (A) all alternatives have a common property or activity, and (B) a common structure is present or al alternatives belong to a recognized class of chemical compounds. Further defining (B), Annex B, Part 1(f)(I-iii), the common structure must; a)

occupy a large portion of their structure, or b) the common structure constitutes a structurally distinctive portion, or c) where the structures are equivalent and therefore a recognized class of chemical compounds, each member could be substituted for one another with the same intended result. That is, with a common or equivalent structure, there is an expectation relationship and the corresponding special technical feature result from a common (or equivalent) structure that is responsible for the common activity (or property). Part 1(f) iv, indicates that when all alternatives of a Markush grouping can be differently classified, it shall no, take alone, be considered justification for finding a lack of unity. Part 1(f)v, indicates that "When dealing with alternatives, if it can be shown that at least *one* Markush alternative is not novel over the prior art, the question of unity of invention shall be reconsidered by the examiner"

In the instant case, applicant does not show that these groups "are so linked to form a single general inventive concept" because there are separate process and independent from each other, thus the lacking of unity of invention has been found.

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Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

**4.** Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Niloofar Rahmani whose telephone number is 571-272-4329. The examiner can normally be reached on Monday through Friday from 8:30 am to 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Janet Andres, can be reached on 571-272-0867. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <a href="http://pair-direct.uspto.gov">http://pair-direct.uspto.gov</a>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (tolleftee).

**NILOOFAR RAHMANI** 

08/07/2007

OK

D.MARGARET SEAMAN

PRIMARY EXAMINER
ART UNIT 1625

Int. Appln. No.: PCT/US2004/035294 US Appln. No.: To Be Assigned US Filing Date: Concurrently Case No.: 21499P Page No.: 3

## REMARKS

The above amendment is submitted in compliance with 37 CFR 1.78(a)(5)(1) for claiming benefit of prior-filed provisional application. No new matter is added by the amendment, and its entry prior to examination is respectfully requested.

Respectfully submitted,

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Date: 27 April 2006